A Concept Category Construction

Dusko Pavlovic University of Hawaii

ongoing joint work with Dominic Hughes Apple Inc.

Logic Matters December 28, 2021

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Background Problem Approach Method Solution Architecture

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Outline

Background: Mining concepts from data

Problem: From recommenders to echo chambers

Approach: Data dependencies as morphisms

Method: Nuclear adjunctions

Solution: The concept category

Architecture: Channels = adjunctions

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Concept mining

	NPR	CNN	FOX	KHON
Alice	* * **	**	* * **	*
Bob	**	***	**	
Carol	**	*	****	
Dave	*	* * **	***	
Ed		**	****	**

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A Recommender System mines concepts from data

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Concept mining is the goal of data analysis

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Background		

FCA LSA

Problem

Approach

domain	\mathcal{J}	U	R	A _{iu}	Method
user preference	items	users	$\{0,, 5\}$	rating	Solution
text analysis	documents	terms	N	occurrence	Architecture
topic search	authorities	hubs	N	hyperlinks	
measurement	instances	quantities	R	outcome	
concept analysys	objects	attributes	{0,1}	property	
elections	candidates	voters	{1,, <i>n</i> }	preference	
market	producers	consumers	\mathbb{Z}	deliveries]
digital images	positions	pixels	[0, 1]	intensity]

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Formal Concept Analysis

	NPR	CNN	FOX	KHON
Alice	*	*	*	*
Bob	*	*	*	
Carol	*	*	*	
Dave	*	*	*	
Ed		*	*	*

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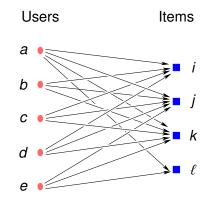
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Formal Concept Analysis

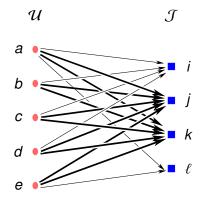


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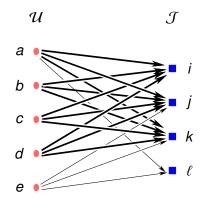


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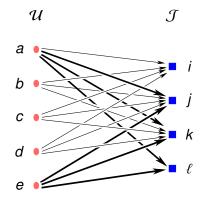


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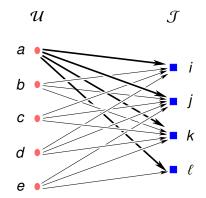


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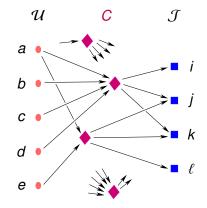
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Concept lattice



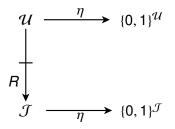
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Powersets are ∪-completions



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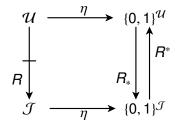
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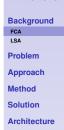
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U- completions ~ Galois connections

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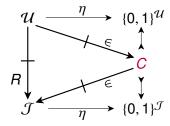
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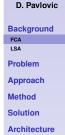
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$$R_*X = \bigcap_{x \in X} xR \quad \text{where } xR = \{y \in \mathcal{J} \mid xRy\}$$
$$R^*Y = \bigcap_{y \in Y} Ry \quad \text{where } Ry = \{x \in \mathcal{U} \mid xRy\}$$

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Fixpoints ~>> tight bicompletion ~>> concept lattice





$$xRy = \bigvee_{c \in C} x \in c_{\mathcal{U}} \land c_{\mathcal{J}} \ni y$$

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Latent Semantic Analysis

	а	b	С	d	е
i	1.25	1.05	1.12	1.57	
j	.83	1.13	1.02	.35	.18
k	0	.35	.21	56	1.02
l	12				.98

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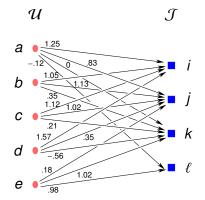
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Latent Semantic Analysis



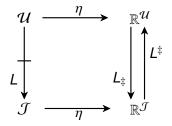
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Vector spaces are mix-completions



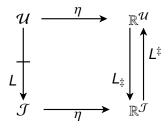
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Matrices ~> adjoint operators



$$L_{\ddagger}\xi = \left(\sum_{j=1}^{n} L_{ij}\xi_{j}\right)_{i=1}^{m}$$
$$L^{\ddagger}v = \left(\sum_{i=1}^{m} v_{i}L_{ij}\right)_{j=1}^{n}$$

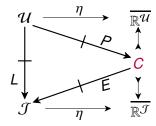
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Eigenspaces vight completion viconcept space



$$L_{ij} = \sum_{\gamma \in C} \lambda_{\gamma} \cdot E_{i\gamma} \cdot P_{\gamma j}$$

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Spectrum vo dominant concepts

Concepts are the eigenspaces of $L_{\ddagger}L^{\ddagger}$ and $L^{\ddagger}L_{\ddagger}$, because

$$\begin{aligned} \gamma_{\mathcal{U}} &= L^{\ddagger} \gamma_{\mathcal{J}} \quad \wedge \quad L_{\ddagger} \gamma_{\mathcal{U}} = \gamma_{\mathcal{J}} \\ & \updownarrow \\ \gamma_{\mathcal{U}} &= L^{\ddagger} L_{\ddagger} \gamma_{\mathcal{U}} \quad \wedge \quad L_{\ddagger} L^{\ddagger} \gamma_{\mathcal{J}} = \gamma_{\mathcal{J}} \end{aligned}$$

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Singular Value Decomposition (SVD)

 $(1.25 \ 1.05 \ 1.12 \ 1.57)$

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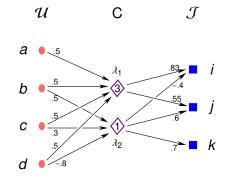
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$$\begin{pmatrix} 1.26 & 1.06 & 1.12 & 1.07 \\ .83 & 1.13 & 1.02 & .35 \\ 0 & .35 & .21 & -.56 \end{pmatrix} = \\ \begin{pmatrix} .83 & -.4 \\ .55 & .6 \\ 0 & .7 \end{pmatrix} \cdot \begin{pmatrix} 3 & 0 \\ 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} .5 & .5 & .5 & .5 \\ 0 & .5 & .3 & -.8 \end{pmatrix}$$

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Information flows through concepts



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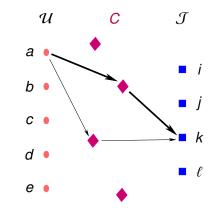
Architecture: Channels = adjunctions

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FCA: Concepts are the particles of meaning



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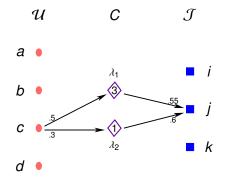
Solution

Architecture

 $aRk = \exists c \in C. a \in c \land c \ni k$

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LSA: Concept associations add up...



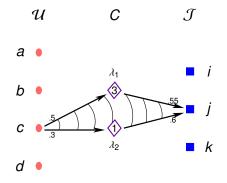
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 $L_{cj} = 3(.5 \times .55) + (.3 \times .6)$

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... and create waves of meaning



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 $L_{cj} = 3(.5 \times .55) + (.3 \times .6)$

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Background

Problem

Approach

Method

Solution

Architecture

Wave mechanics is the theory of interference.

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The meaning of numbers

- L_{ui} = how much does the user u use the item i
- $P_{u\gamma}$ = how much of *u*'s usage is due to the concept γ
- $E_{\gamma i}$ = how much of the utility of *i* is due to the concept γ

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The meaning of decomposition

If the data are normalized

$$L_{ui} = \Pr(u, i)$$
 $E_{\gamma i} = \Pr(\gamma, i)$ $P_{u\gamma} = \Pr(u, \gamma)$

then the decomposition $L_{ui} = \sum_{\gamma} (P_{u\gamma} \times E_{\gamma i})$ implies

$$\begin{aligned} \mathsf{Pr}(u,i) &= & \mathsf{Pr}(u,\gamma_1,i) &+ & \mathsf{Pr}(u,\gamma_2,i) \\ &= & \mathsf{Pr}(u,\gamma_1) \times \mathsf{Pr}(\gamma_1,i) &+ & \mathsf{Pr}(u,\gamma_2) \times \mathsf{Pr}(\gamma_2,i) \end{aligned}$$

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The meaning of decomposition

If the data are normalized

$$L_{ui} = \Pr(u, i)$$
 $E_{\gamma i} = \Pr(\gamma, i)$ $P_{u\gamma} = \Pr(u, \gamma)$

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— which means that *u* and *i* are independent —

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Recommendations invalidate their own independency assumption.

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Problem

Approach

Method

Solution

Architecture

Any existing dependencies are amplified.

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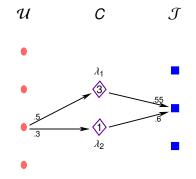
Architecture: Channels = adjunctions

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Concept associations add up



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 $L_{ui} = \lambda_1 P_{u\gamma_1} E_{\gamma_1 i} + \lambda_2 P_{u\gamma_2} E_{\gamma_2 i}$

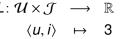
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... to explain the correlation counts

U J 3 $L \colon \mathcal{U} \times \mathcal{J} \ \longrightarrow \ \mathbb{R}$

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Idea: Record correlation events

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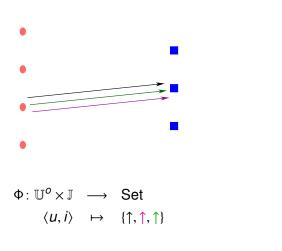
Problem

Approach

Method

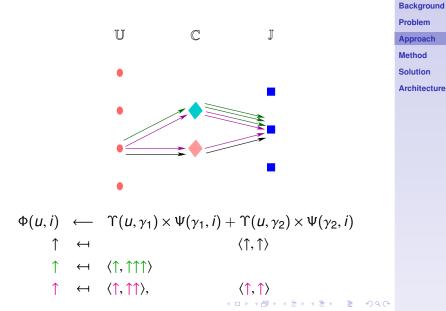
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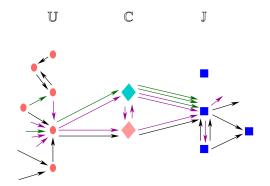
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Decomposition of set-matrices



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Decomposition of categorical matrices



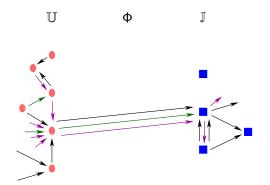
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Known data correlations are captured in terms of morphisms

Categorical matrices

(a.k.a. distributors, profunctors, bimodules)



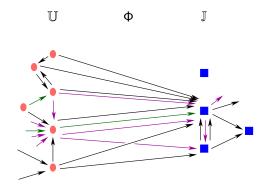
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Events can be identified by identifying the morphism actions

Categorical matrices

(a.k.a. distributors, profunctors, bimodules)



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Data dependencies can be captured in terms of morphism compositions.

Categorical matrix

is a matrix of sets acted upon by categories:

$$\mathbb{U}(a,a') \times \Phi(a',k') \times \mathbb{J}(k',k) \stackrel{\Phi}{\longrightarrow} \Phi(a,k)$$

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Categorical matrix composition

$$\mathbb{U}(u, u') \times \Upsilon(u', \gamma') \times \mathbb{C}(\gamma', \gamma) \xrightarrow{\Upsilon} \Upsilon(u, \gamma)$$
$$\mathbb{C}(\gamma, \gamma'') \times \Psi(\gamma'', i'') \times \mathbb{J}(i'', i) \xrightarrow{\Psi} \Psi(\gamma, i)$$

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Categorical matrix composition

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Approach

Method

Solution

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Categorical matrix composition

$$\mathbb{U}(u,u') \times \Upsilon(u',\gamma') \times \mathbb{C}(\gamma',\gamma) \xrightarrow{\Upsilon} \Upsilon(u,\gamma) \\
 \mathbb{C}(\gamma,\gamma'') \times \Psi(\gamma'',i'') \times \mathbb{J}(i'',i) \xrightarrow{\Psi} \Psi(\gamma,i) \\
 \overline{\mathbb{U}(u,u')} \times \Upsilon(u',\gamma) \times \Psi(\gamma,i') \times \mathbb{J}(i',i) \xrightarrow{\Upsilon;\Psi} \Upsilon(u,\gamma) \times \Psi(\gamma,i) \\
 \overline{\mathbb{U}(u,u')} \times \Phi(u',i') \times \mathbb{J}(i',i) \xrightarrow{\Phi} \Phi(u,i)$$

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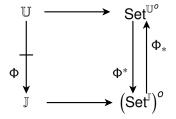
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where

$$\Phi(u, i) = \int_{\gamma \in \mathbb{C}} \Upsilon(u, \gamma) \times E(\gamma, i)$$
$$= \left(\bigsqcup_{\gamma \in \mathbb{C}} \Upsilon(u, \gamma) \times E(\gamma, i) \right) \Big| \sim$$
for $\langle \nu, \psi \rangle \sim \exists u \exists j. \langle u^* \nu, j_* \psi \rangle$

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Categorical matrices ~> adjoint functors



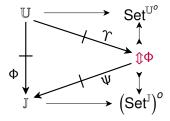
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$$\Phi^* X = \lim_{\longrightarrow} \Phi_u X_u \\
\Phi_* Y = \lim_{\longleftarrow} Y_i \Phi_i$$

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Task: tight completion ~>> concept category



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$$\Phi(u,i) = \int_{\gamma \in \mathcal{C}} \Upsilon(u,\gamma) \times \Psi(\gamma,i)$$

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Background Problem Approach Method Solution Architecture Retrace the FCA workflow?

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Problem

Approach

Method

Solution

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Posetal matrix

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is a lower-closed set $R \subseteq \mathcal{U} \times \mathcal{J}^o$, i.e.

$$u \stackrel{\mathcal{U}}{\leq} u' \wedge u' Ri' \wedge i' \stackrel{\mathcal{J}}{\leq} i \implies uRi$$

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Posetal matrix composition

$$u \stackrel{\mathcal{U}}{\leq} u' \wedge u' P\gamma' \wedge \gamma' \stackrel{\mathcal{C}}{\leq} \gamma \implies u P\gamma$$

$$\gamma \stackrel{\mathcal{C}}{\leq} \gamma'' \wedge \gamma'' Ei'' \wedge i'' \stackrel{\mathcal{J}}{\leq} i \implies \gamma Ei$$

$$\frac{u \stackrel{\mathcal{U}}{\leq} u' \wedge u' P\gamma \wedge \gamma Ei' \wedge i' \stackrel{\mathcal{J}}{\leq} i \implies u P\gamma \wedge \gamma Ei}{u \stackrel{\mathcal{U}}{\leq} u' \wedge u' Ri' \wedge i' \stackrel{\mathcal{J}}{\leq} i \implies u Ri$$

where

$$uRi \iff \exists \gamma. uP\gamma \land \gamma Ei$$

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Problem

Approach

Method

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Posetal matrix composition

$$u \stackrel{\mathcal{U}}{\leq} u' \wedge u' \in \gamma' \wedge \gamma' \subseteq \gamma \implies u \in \gamma$$

$$\gamma \subseteq \gamma'' \wedge \gamma'' \ni i'' \wedge i'' \stackrel{\mathcal{J}}{\leq} i \implies \gamma \ni i$$

$$\frac{u \stackrel{\mathcal{U}}{\leq} u' \wedge u' \in \gamma \wedge \gamma \ni i' \wedge i' \stackrel{\mathcal{J}}{\leq} i \implies u \in \gamma \wedge \gamma \ni i}{u \stackrel{\mathcal{U}}{\leq} u' \wedge u' Ri' \wedge i' \stackrel{\mathcal{J}}{\leq} i \implies uRi$$

where

$$uRi \iff \exists \gamma. \ u \in \gamma \land \gamma \ni i$$

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Background

Problem

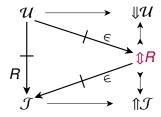
Approach

Method

Solution

Architecture

Tight completion vo concept lattice



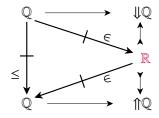
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 $uRi = \exists \gamma \in C. \ u \in \gamma_{\downarrow} \land \gamma_{\uparrow} \ni i$

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Dedekind completion vithe real continuum



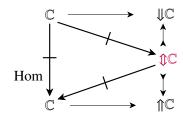
$$q \stackrel{\mathbb{Q}}{\leq} q' = \exists r \in \mathbb{R}. \ q \in r_{\downarrow} \land r_{\uparrow} \ni q'$$

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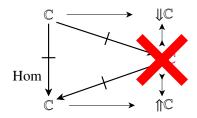
Dedekind completion of a category (Lambek 1964)



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Dedekind completion of a category doesn't exist (Isbell 1972)



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But we use and compute concept categories



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Upshot

- ► Background: Tight poset completions for ∨ ↔ ∧.
- **Task:** Tight category completions.
- Obstacle: No tight category completions for lim

New task: Tight category completions for tight limits im explicit explicit tight. D. Pavlovic Background Problem Approach Method Solution Architecture

Upshot

- ► Background: Tight poset completions for ∨ ↔ ∧.
- **Task:** Tight category completions.
- ► Obstacle: No tight category completions for lim

- New task: Tight category completions for tight limits im explicit explicit tight.
 - What are $\overrightarrow{\lim} \leftrightarrow \overrightarrow{\lim}$?

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Outline

Background: Mining concepts from data

Problem: From recommenders to echo chambers

Approach: Data dependencies as morphisms

Method: Nuclear adjunctions

Solution: The concept category

Architecture: Channels = adjunctions

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Reminder: Meets and joins

 $\mathbb{P} \underbrace{\stackrel{\vee}{\underset{\nabla}{\stackrel{\perp}{\longrightarrow}}} \Downarrow \mathbb{P}}_{\mathbb{P}(\underset{\overline{\alpha}, y)}{\stackrel{\cong}{\longrightarrow}} \mathbb{P}(\overleftarrow{\alpha}, \nabla y)}$



$$\mathbb{P}(x,\varprojlim\overrightarrow{\beta})\cong \Uparrow\mathbb{P}(\vartriangle x,\overrightarrow{\beta})$$

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Problem

Approach

Method

Solution

Architecture

Reminder: Limits and colimits

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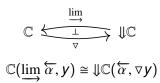
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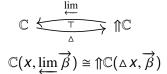
Approach

Method

Solution

Architecture





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Tight limits and colimits

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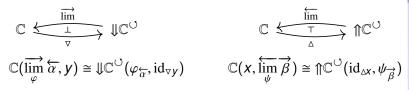
Problem

Approach

Method

Solution

Architecture



where...

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Tight limits and colimits

where ${\Downarrow}{\mathbb{C}}^{\cup}$ is defined

$$\begin{split} |\Downarrow\mathbb{C}^{\cup}| &= \prod_{\overleftarrow{\alpha} \in \mathbb{U}\mathbb{C}} \left\{ \underbrace{\Delta\overset{\overleftarrow{\alpha}}{\alpha} \quad \overline{\nabla}\Delta\overset{\overleftarrow{\alpha}}{\alpha} \longrightarrow \alpha}_{\downarrow & \swarrow & \varphi & \swarrow & \varphi \\ \downarrow & \searrow & & \swarrow & \downarrow & \downarrow \\ \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \longrightarrow \varphi \longrightarrow & \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \quad \overline{\nabla}\Delta\overset{\overleftarrow{\alpha}}{\alpha} & \downarrow \\ \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \longrightarrow \varphi \longrightarrow & \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \quad \overline{\nabla}\Delta\overset{\overleftarrow{\alpha}}{\alpha} & \downarrow \\ \downarrow & \downarrow & \downarrow & \downarrow \\ \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \longrightarrow & \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \xrightarrow{\Delta}\overset{f}{\beta} & \downarrow \\ \downarrow & \downarrow & \downarrow & \downarrow \\ \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \longrightarrow & \underline{\Delta}\overset{\overleftarrow{\alpha}}{\beta} & \downarrow \\ \underline{\Delta}\overset{\overleftarrow{\alpha}}{\alpha} \longrightarrow & \underline{\Delta}\overset{\overleftarrow{\alpha}}{\beta} & \downarrow \\ \end{matrix} \right\}$$

and $\ \ \mathbb{C}^{\mathcal{O}}$ is dual.

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(Background proposition)

 $\mathbb{IIC}^{\mathbb{O}} \simeq \mathbb{C}^{\mathbb{N}}$

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follows from

Quotients in monadic programming: Projective algebras are equivalent to coalgebras. LICS 2017 or https://arxiv.org/abs/1701.07601

 $\mathbb{AC}^{\mathcal{O}} \simeq \mathbb{IC}^{\mathbb{Z}}$

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Concept category

$$|\mathbb{D}\Phi| = \prod_{\substack{\overleftarrow{\alpha} \in \mathbb{U}\mathbb{U}^{\overleftarrow{\alpha}} \\ \overrightarrow{\alpha} \in \mathbb{D}^{\overrightarrow{\alpha}}}} \left\{ \overleftarrow{\alpha} \underbrace{\swarrow}_{j} \overleftarrow{g} \\ \overleftarrow{\gamma} \\$$

$$\mathbb{P}\Phi(\alpha,\beta) = \coprod_{\substack{\overleftarrow{f} \in \mathbb{U}\mathbb{U}^{\overleftarrow{\phi}}(\overleftarrow{\alpha},\overrightarrow{\beta})\\ \overrightarrow{f} \in \mathbb{H}\mathbb{U}^{\overrightarrow{\phi}}(\overrightarrow{\alpha},\overrightarrow{\beta})}} \begin{cases} \overleftarrow{x} \succ \overleftarrow{j_{\alpha}} \rightarrow \Phi_{*}\overrightarrow{x} - \overleftarrow{g_{\alpha}} \gg \overleftarrow{x}\\ | & | & |\\ \overrightarrow{f} & \Phi_{*}\overrightarrow{f} & \overleftarrow{f}\\ \downarrow & \downarrow & \downarrow\\ \overleftarrow{y} \succ \overleftarrow{j_{\beta}} \rightarrow \Phi_{*}\overrightarrow{y} - \overleftarrow{g_{\beta}} \gg \overleftarrow{y} \end{cases}$$

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Background

Problem

Approach

Method

Solution

Architecture

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Concept category

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Background

Toshiki Kataoka and DP, Towards concept analysis in categories. CALCO 2015 or https://arxiv.org/abs/2004.07353

DP and P.M. Seidel, Quotients in monadic programming: Projective algebras are equivalent to coalgebras. LICS 2017 or https://arxiv.org/abs/1701.07601

 DP and D. Hughes, The nucleus of an adjunction and the Street monad on monads. https://arxiv.org/abs/2004.07353 D. Pavlovic

Background Problem Approach Method

Architecture

Outline

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Semantics

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Problem

Approach

Method

Solution

Architecture



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Semantics in mathematics = category theory

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Problem

Approach

Method

Solution

Architecture



 \models Hom(Δ , \mathcal{M})

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Semantics is adjunction

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Approach

Method

Solution

Architecture

$Mnf(\mathcal{M}, R\Delta) \cong Grp(\mathcal{LM}, \Delta)$

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- children: competent learning
- cryptanalysts: adversarial learning
- scientists: approximate learning

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Problem

Approach

Method

Solution

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Architecture

Sign is a process



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Fast learners

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Problem

Approach

Method

Solution

Architecture

▶ GPT-3, BERT: simulate the sign

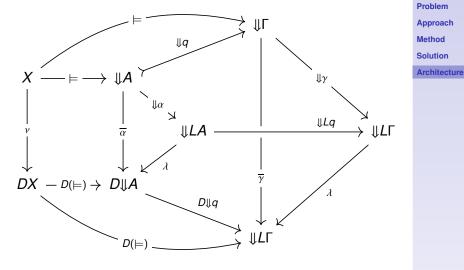
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Meaning is a process

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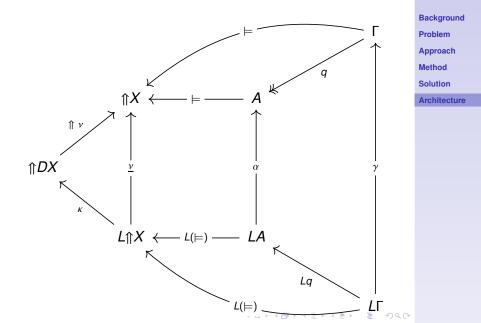


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Signifier is a process



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Lambek pregroups are Frobenius spiders

 $xx^{\ell} \leftarrow \iota \leftarrow x^{\ell}x , x^{r}x \leftarrow \iota \leftarrow xx^{r}$

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 $(xy \leftarrow uv) \vdash (xy \leftarrow xsv \leftarrow uv) \ , \ (xy \leftarrow uty \leftarrow uv)$

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Lambek pregroups are Frobenius spiders

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$$xx^{\ell} \leftarrow \iota \leftarrow x^{\ell}x \ , \ x^{r}x \leftarrow \iota \leftarrow xx^{r}$$

$$(xy \leftarrow uv) \vdash (xy \leftarrow xsv \leftarrow uv) \ , \ (xy \leftarrow uty \leftarrow uv)$$

arXiv:2105.03038

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